

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/783,715	02/14/2001	Julian Orbanes	GPH-003E

CONFIRMATION NO. 2198

021323
TESTA, HURWITZ & THIBEAULT, LLP
HIGH STREET TOWER
125 HIGH STREET
BOSTON, MA 02110

FORMALITIES LETTER



OC000000006105491

Date Mailed: 05/23/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$162.
 - \$162 for 9 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1002.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

01/18/2002 MBIZLINES 00000048 09/23/01
370.00 DP
65.00 DP
02 FC:201
03 FC:205

PART 2 - COPY TO BE RETURNED WITH RESPONSE

[illegible]



PATENT
Attorney Docket No. GPH-003E
(6934/6)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Orbanes et al.
SERIAL NO.: 09/783,715 GROUP NO.: 2185
FILING DATE: February 14, 2001 EXAMINER: Not yet assigned
TITLE: Method and Apparatus for Addressing Data Objects in Virtual Space

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Sir/Madam:

In response to the Notice to File Missing Parts mailed May 23, 2001, enclosed are 2 checks in the amount of \$615.00 and \$280.00 for the payment of the basic filing fee for a small entity (\$370.00), three-month extension petition (\$460.00) and surcharge (\$65.00) for a small entity in compliance with 37 C.F.R. 1.27. Please note that also enclosed is a preliminary amendment which eliminates all excess claims not included in the filing fee. Also enclosed are the drawings for this application with the corrected margins.

Should the amounts above be incorrect, please charge Deposit Account No. 20-0531 for any deficiencies.

Respectfully submitted,

David G. Miranda
Agent for Applicants
Testa, Hurwitz, & Thibeault
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: October 23, 2001
Reg. No. 42,898

Tel. No. (617) 248-7954
Fax: (617) 790-0339

MIRANDDG\6934\6.2204230_1



Sector #

PATENT
Attorney Docket No. GPH-003E
(6934/6)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Orbanes, et al.
SERIAL NO.: 09/783,715 GROUP NO.: 2185
FILING DATE: 2/14/01 EXAMINER: Not Yet Assigned
TITLE: Method and Apparatus for Addressing Data Objects in Virtual Space

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Attention: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on October 23, 2001.


Mina Pisa

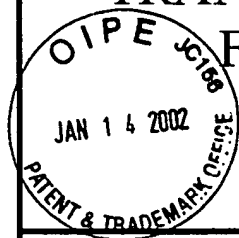
Assistant Commissioner for Patents
Washington, D.C. 20231
Attention: Box Missing Parts

Dear Sir or Madam:

Submitted herewith are:

- (1) Transmittal Form (1 page);
- (2) Fee Transmittal (1 page);
- (3) 2 Checks: amounts \$615.00 and \$280.00
- (4) Petition for Extension of Time Under 37 C.F.R. 1.136(a) (1 page);
- (5) Preliminary Amendment (1 page);
- (6) Response to Notice to File Missing Parts (1 page);
- (7) Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages);
- (8) Formal Drawings (21 sheets); and
- (5) Return Receipt Postcard.

TRANSMITTAL FORM



Application Serial Number	09/783,715
Filing Date	February 14, 2001
First Named Inventor	Orbanes
Group Art Unit	2185
Examiner Name	Not yet assigned
Attorney Docket No.	GPH-003E
BATCH NO. (after allowance)	Not applicable
Patent No.	Not applicable
Issue Date	Not applicable

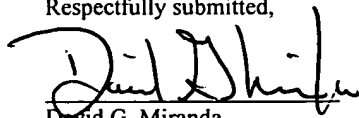
ENCLOSURES (check all that apply)

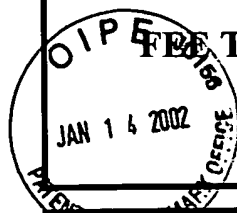
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> 2 Checks Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input checked="" type="checkbox"/> Amendment/Response <input checked="" type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____] <input checked="" type="checkbox"/> Petition for Extension of Time (3 months) <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553) <input checked="" type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <input checked="" type="checkbox"/> Response to Notice to File Missing Parts
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CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
 Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

 Date: October 23, 2001
 Reg. No. 42,898
 Tel. No.: (617) 248-7954
 Fax No.: (617) 790-0339
 David G. Miranda
 Agent for Applicants
 Testa, Hurwitz & Thibault, LLP
 High Street Tower
 125 High Street
 Boston, MA 02110



FEE TRANSMITTAL
FY 2001

Complete if Known

Application Serial Number	09/783,715
Filing Date	February 14, 2001
First Named Inventor	Orbanes
Group Art Unit	2185
Examiner Name	Not yet assigned
Attorney Docket No.	GPH-003E

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ 2 Checks ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☒ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
740	Utility filing fee	740
320	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
Total Claims	20	- 20 = 0	x \$ 18.00 =	0

Independent Claims	3	- 3 = 0	x \$ 84.00 =	0
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☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL: 740

SMALL ENTITY DISCOUNT: 370

SUBTOTAL (1) (\$) 370

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total - = x \$ 18.00 =

Indep. - = x \$ 80.00 =

☐ First Presentation of Multiple Dep. Claim + \$270.00 =

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$) 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
920	460	Extension for reply within third month	460
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
180	180	Submission of Information Disclosure Statement	
710	355	Filing a submission after final rejection (37 CFR 1.129(a))	
710	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$) 525

SUBTOTAL (1) 370

SUBTOTAL (2) 0

SUBTOTAL (3) 525

TOTAL (\$) 895

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